



Distributed Mode Scheduling for Coordinated Power Balancing

Hiroaki Kawashima (Kyoto University)

Takekazu Kato (Kyoto University)

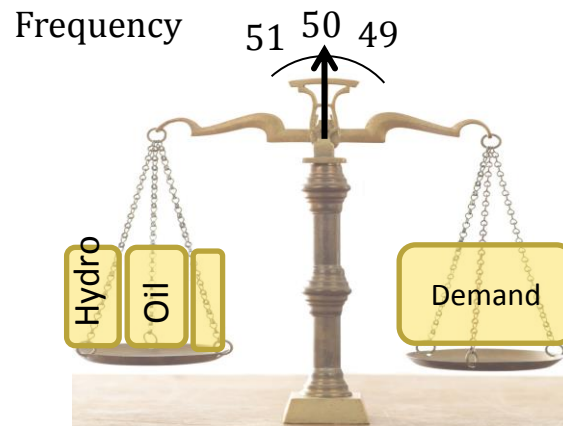
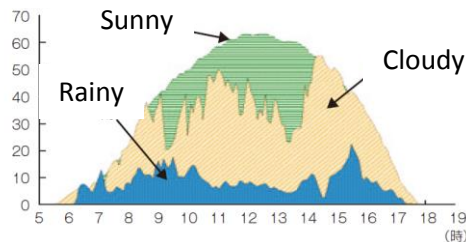
Takashi Matsuyama (Kyoto University)

Motivation

- Volatile power supply & demand in future
 - More operating reserves (power plants)? → increase electricity price
 - How can we coordinate end users to balance/flatten the total power?



Solar & wind power strongly depend on weather, etc.

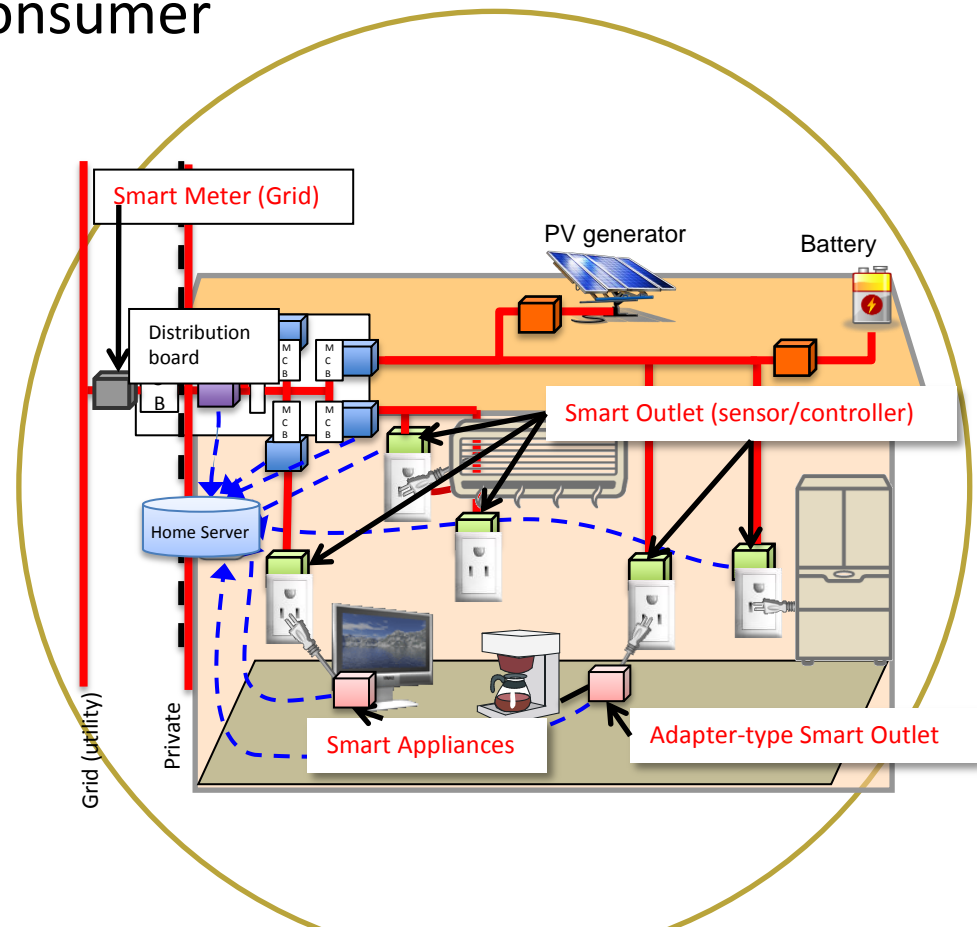


Electric Vehicle (EV) and Plug-in Hybrid EV (PHEV) require several kW x several hours for everyday charging

End Users (household, office, etc.)

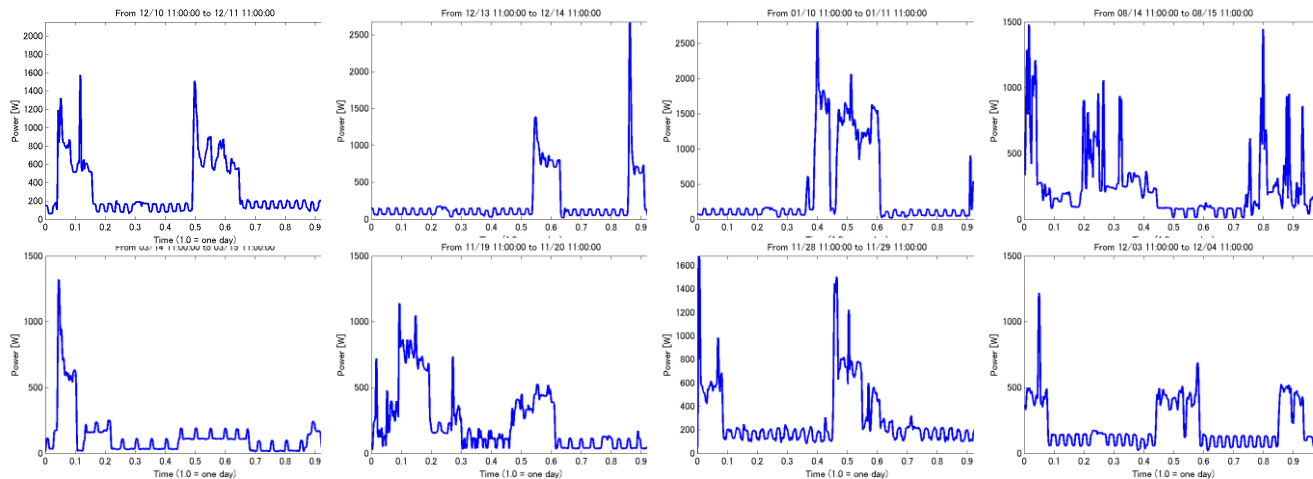
- End user: **a unit of decision making** for energy management
 - Household, office, factory, etc.
- Assume that Energy Management System (EMS) is installed
 - Smart meter, communication device, sensor (controller) of appliances
- Prosumer: Producer + Consumer

Eco house in Kyoto
(From <http://www.kyo-ecohouse.jp>)



End Users' Consumptions

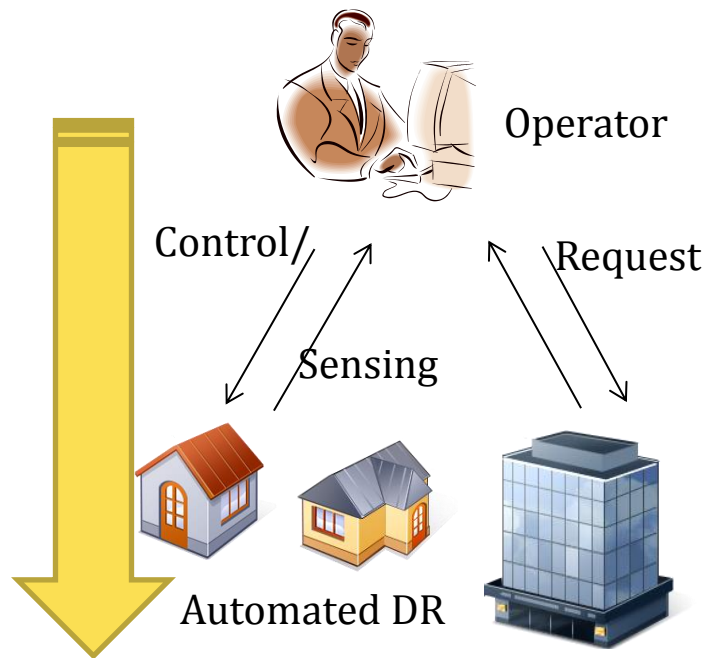
- Examples of consumption patterns of several families
 - Apartment (1 bed room) with EMS [Kato, et al. SmartGridComm11,12]
 - Affected by not only life styles but events (travel, party, etc.)



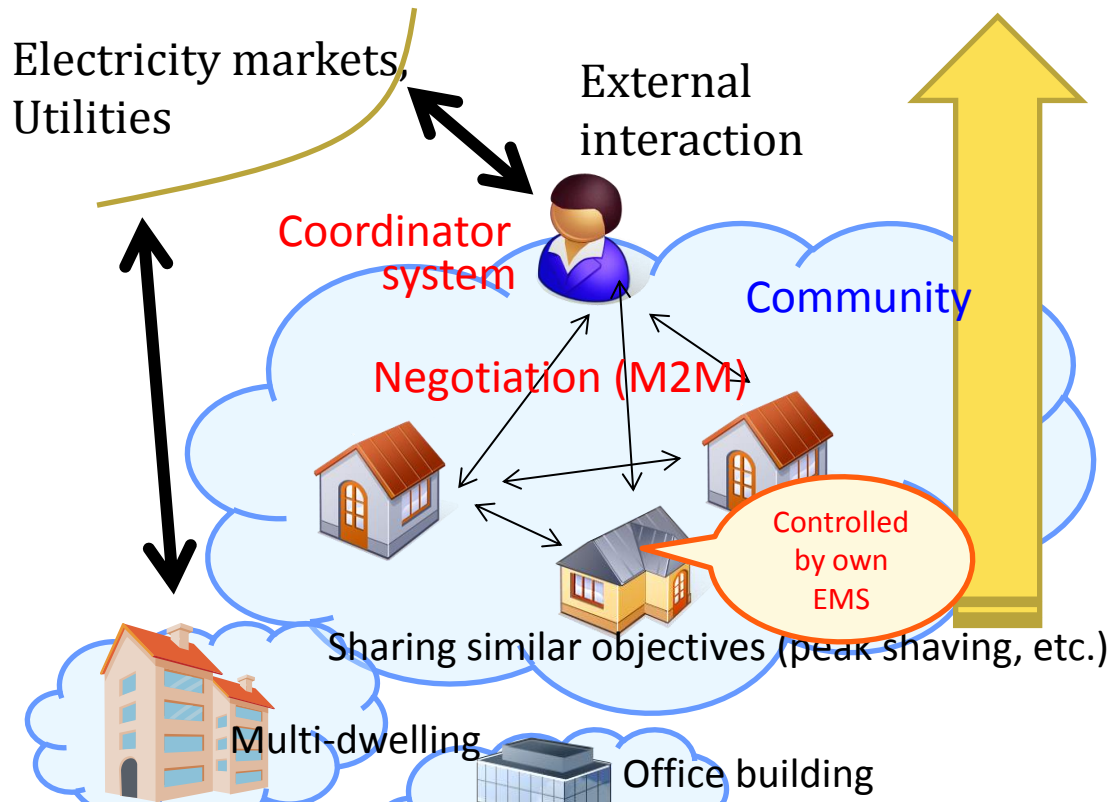
End users have their own daily preference
and often difficult to predict from utilities

Coordination of End Users in a Community

- Demand Response



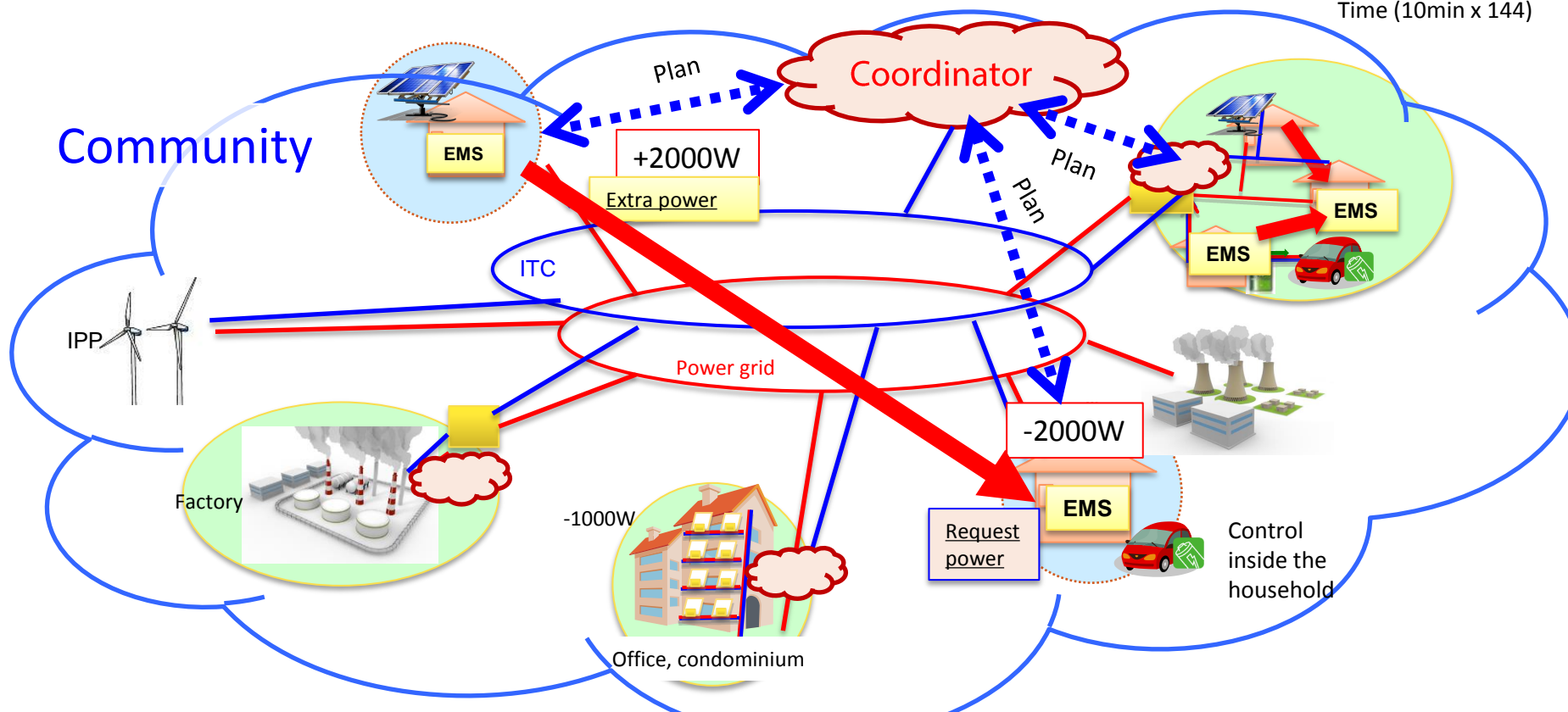
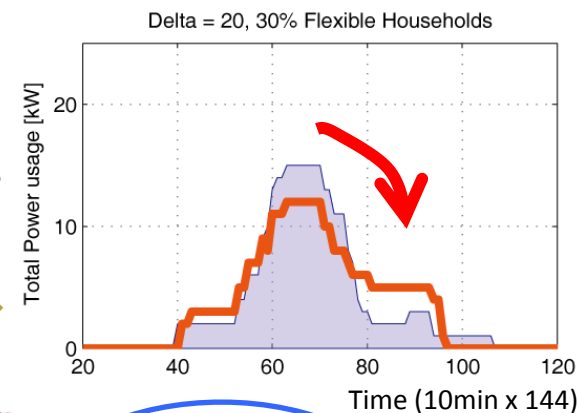
- Coordination as a community



Demand-side management “from demand side”

Community-based Coordination for Flattening

- Distributed architecture
 - User has **own controller** (autonomous agent)
- Users negotiate their plans via coordinator
 1. **Day-ahead coordination**
 2. Online coordination



1. Motivation
2. Community-based architecture to coordinate users
3. Models and algorithms
 1. Distributed optimization
 2. End user's model
4. Simulation examples
5. Conclusion

Coordination of Households (End Users)

- Flatten the peak power while preserving each household's satisfaction:

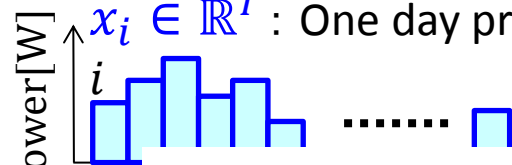
\sum_i Objective of household i
+ Objective of the community

$f_i(x_i)$ Dissatisfaction/difficulty of using power profile x_i

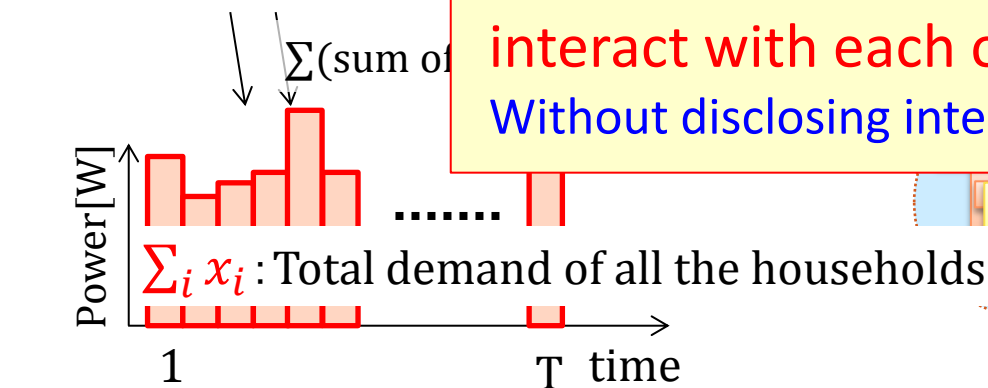
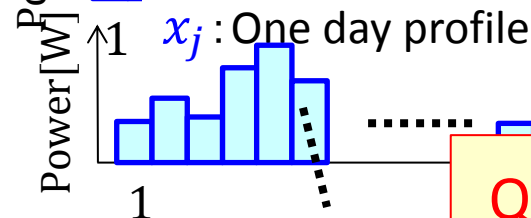
$g(\sum_i x_i)$ Penalty function for peak

→ minimize over x_i ($i = 1, \dots, N$)

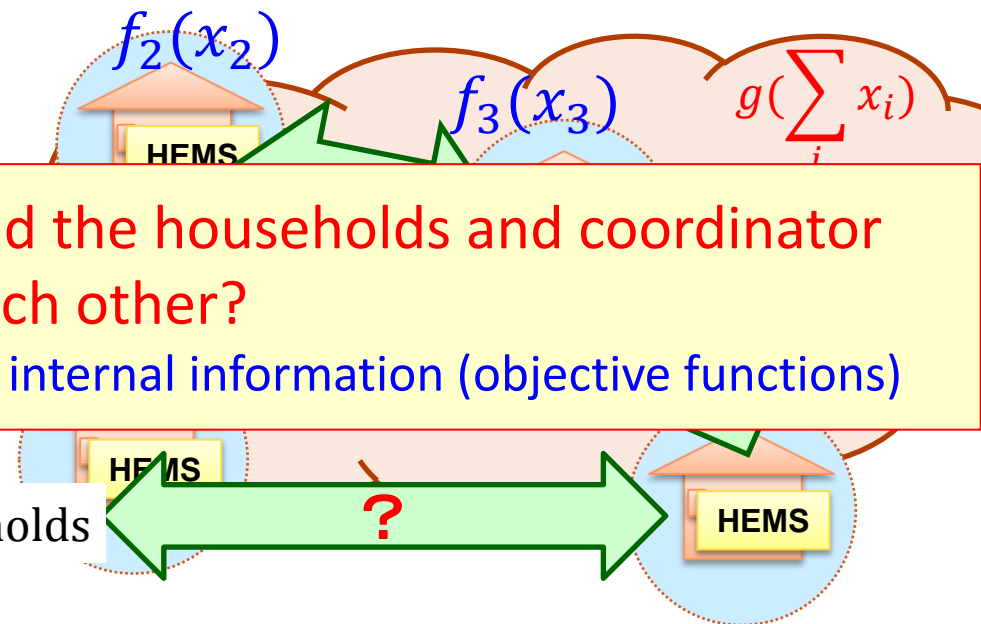
$x_i \in \mathbb{R}^T$: One day profile of household i



x_j : One day profile of household j



Q1 : How should the households and coordinator interact with each other?
Without disclosing internal information (objective functions)



Idea 1: Profile-based Distributed Coordination



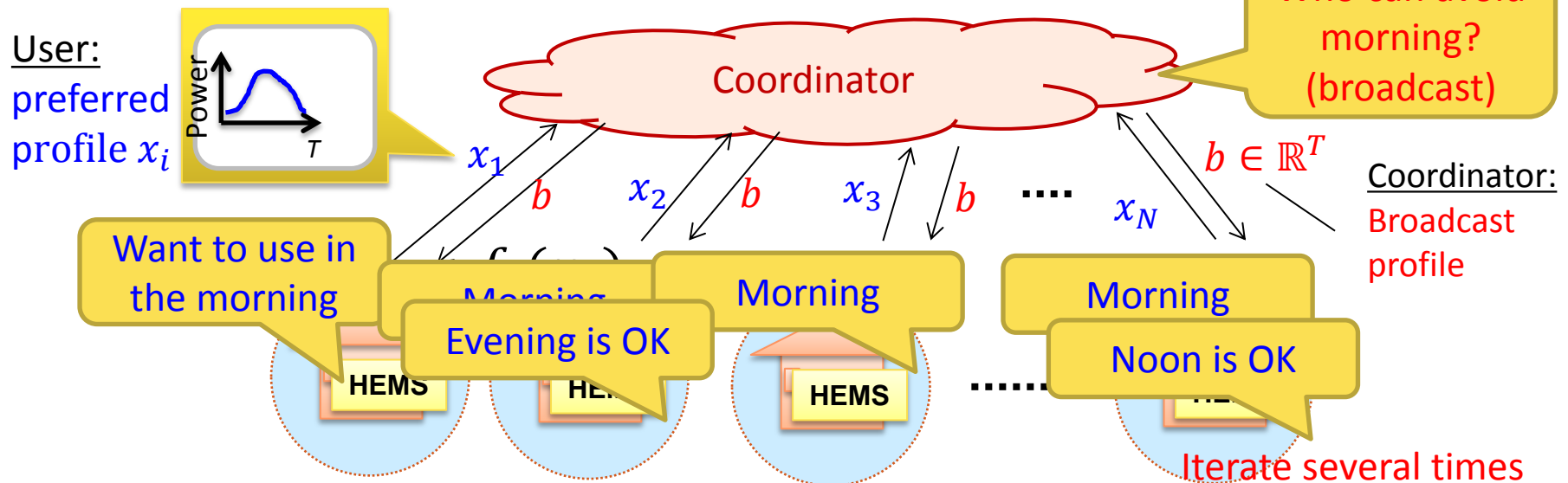
- Flatten the peak power while preserving each household's satisfaction :

$$\underset{x_1, \dots, x_N}{\text{minimize}} \quad \sum_i \boxed{f_i(x_i)} + \boxed{g(\sum_i x_i)}$$

Difficulty/dissatisfaction of using x_i Penalty function for peak

- Coordination of distributed controllers (autonomous agents)**
 - Each user does not disclose their objective functions f_i
 - ☺ Can avoid privacy issues / integrate different types of EMS (allow heterogeneity)

Profile-based negotiation to find best plan $x_i (i = 1, \dots, N)$



Distributed Optimization via ADMM

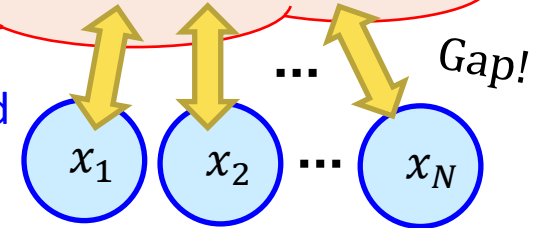


Sharing Problem:

$$\min_{x_1, \dots, x_N} L(x) \triangleq \sum_{i \in \mathcal{N}} f_i(x_i) + g\left(\sum_{i \in \mathcal{N}} x_i\right)$$

Coordinator's version of (expectation for) each user's profile: z_1, z_2, \dots, z_N

User's preferred profiles

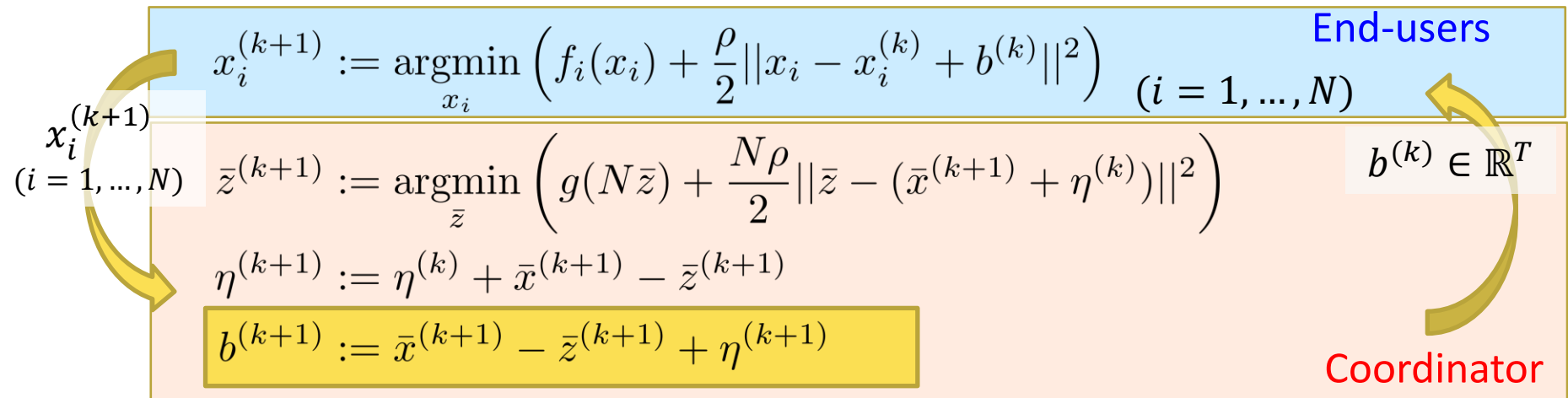


Dual decomposition with Augmented Lagrangian

Subject to $x_i = z_i$

$$L_\rho(x, z, \lambda) \triangleq \sum_{i \in \mathcal{N}} f_i(x_i) + g\left(\sum_{i \in \mathcal{N}} z_i\right) + \sum_{i \in \mathcal{N}} \lambda_i^\top (x_i - z_i) + \frac{\rho}{2} \sum_{i \in \mathcal{N}} \|x_i - z_i\|^2$$

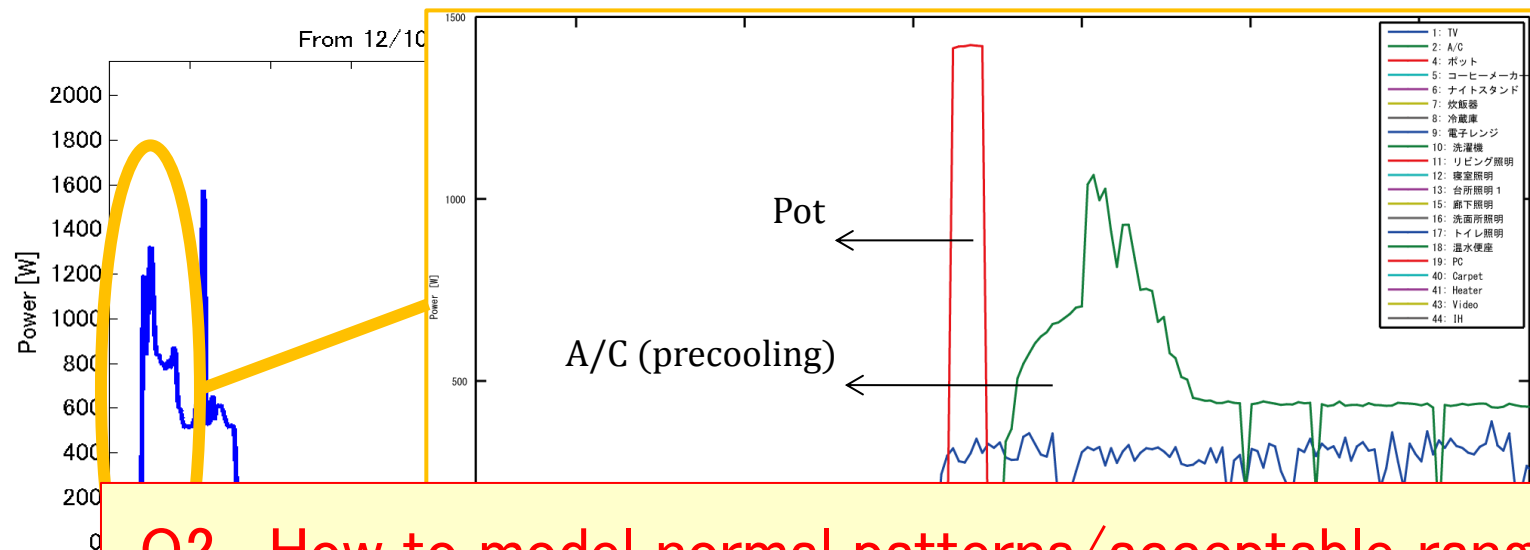
Alternating Direction Method of Multipliers (ADMM):



1. Motivation
2. Community-based architecture to coordinate users
3. Models and algorithms
 1. Distributed optimization
 2. End user's model
4. Simulation examples
5. Conclusion

Control in a Household

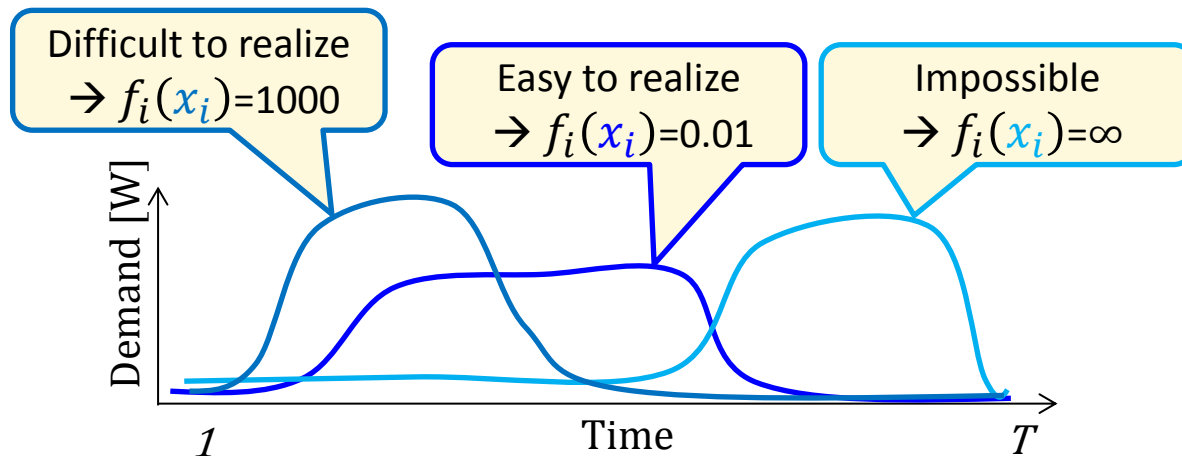
- Change of device usage: time-shift, reduction
- We focus on **time-shift (scheduling)** of appliance usage in a household as it has a large effect in power flattening
 - (Ex.) EV charging, A/C, dryer, dish washer, rice cooker



Q2. How to model normal patterns/acceptable range of each household?

Idea 2: Objective Function of Households

- Objective function $f_i(x_i)$
 - Difficulty/dissatisfaction of realizing profile x_i by household i

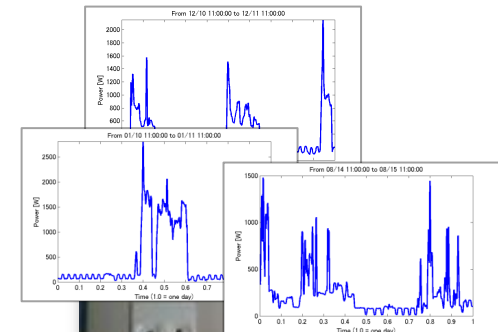


Many profiles are infeasible, i.e., $f_i(x_i)=\infty$
Can we learn the function $f_i(x_i)$ from data?



We can use a probabilistic model of time series used in speech/gesture recognition

Training data



Smart tap
(smart plug)

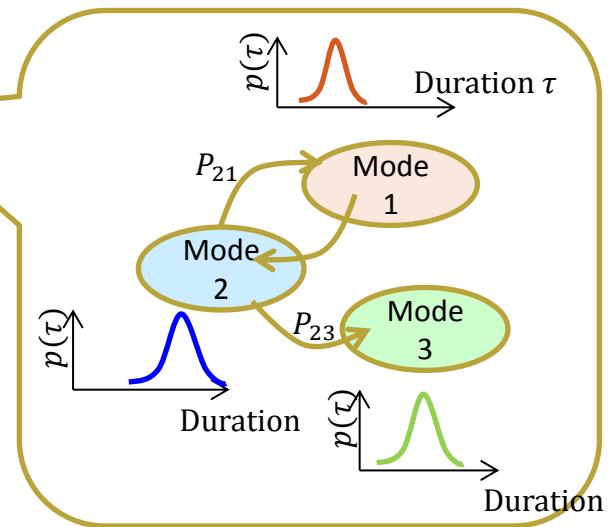
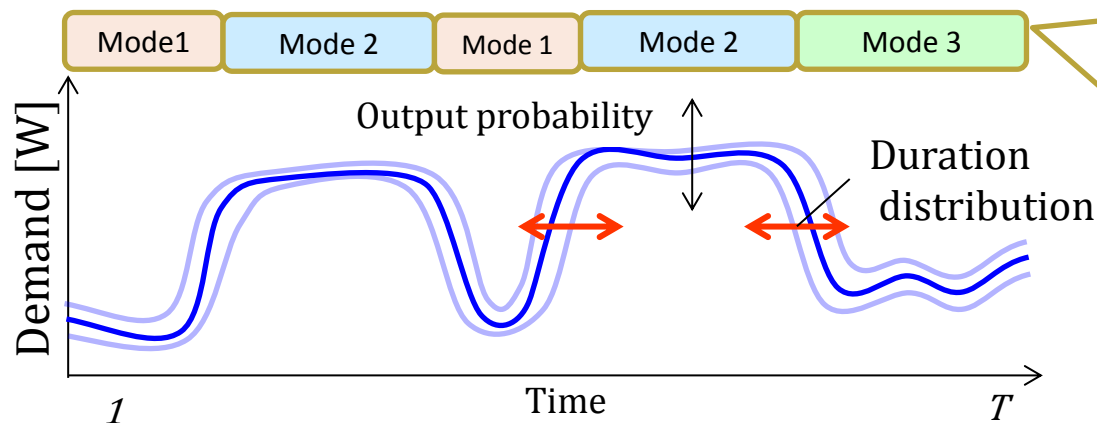
Probabilistic Model of Time Series



- Hidden Semi-Markov Model

- Assume that each device has its “internal modes” (discrete states)
- Power consumption is determined by the control of modes
- All the model parameters can be learned from daily usage data

(Ex.) Standby Charging Standby Charging After charging



$$f(x_i) \triangleq -\log \max_{s_{i,1}, \dots, s_{i,T}} P(s_{i,1}, \dots, s_{i,T}, x_i)$$

Replace user-side optimization over x_i by “mode scheduling”

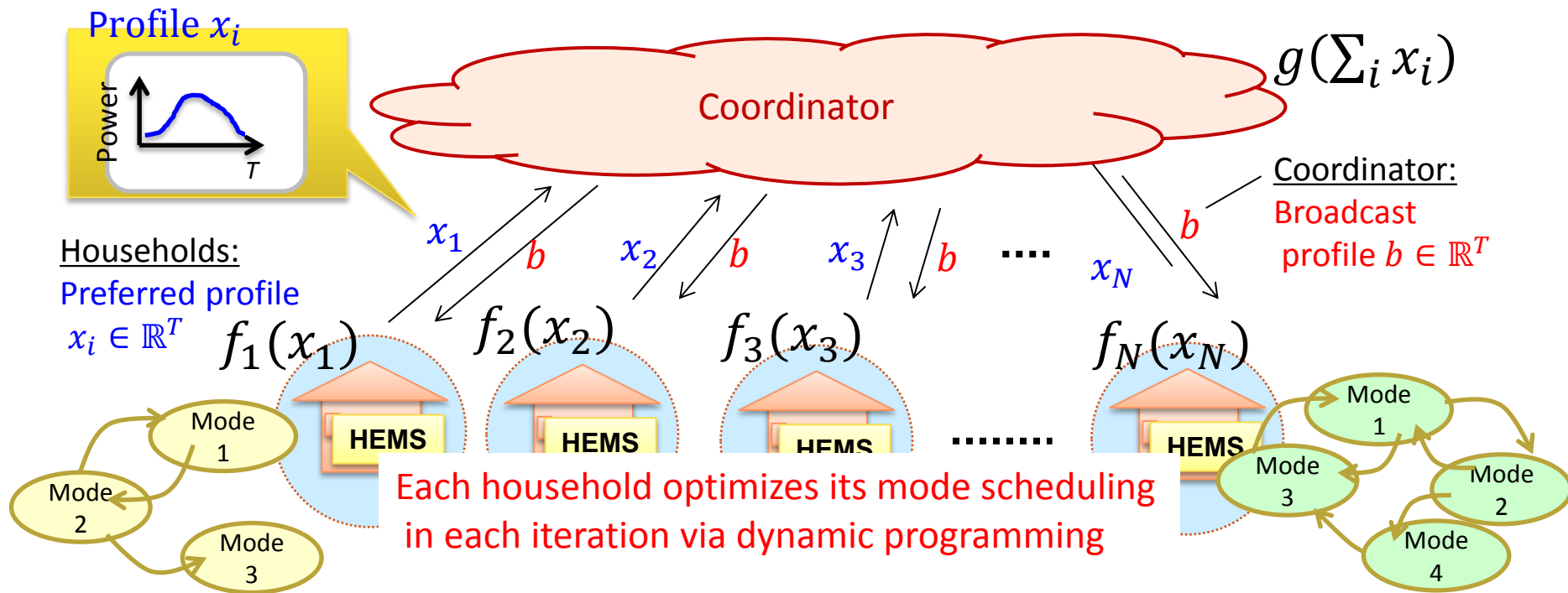
Take into account temporal constraints (duration, order) on power levels

Distributed Mode Scheduling

- Flatten the peak power while preserving each household's satisfaction

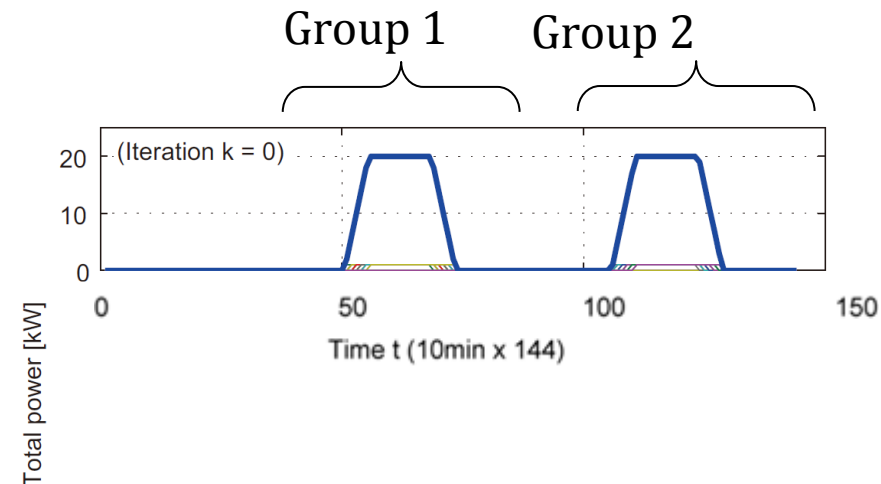
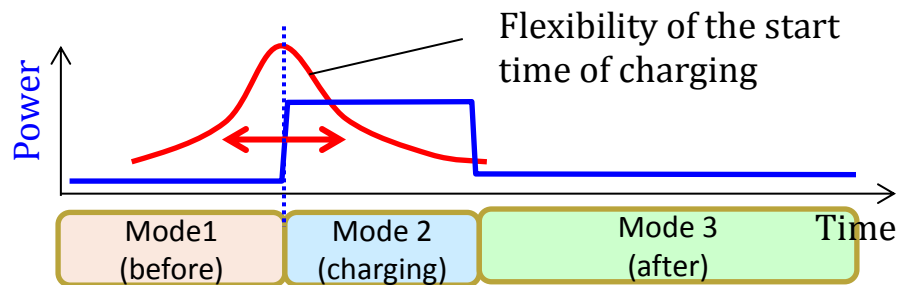
$$\underset{x_1, \dots, x_N}{\text{minimize}} \sum_i f_i(x_i) + g(\sum_i x_i)$$

- Household need to send only their profiles
 - The coordinator do not need to know each objective function

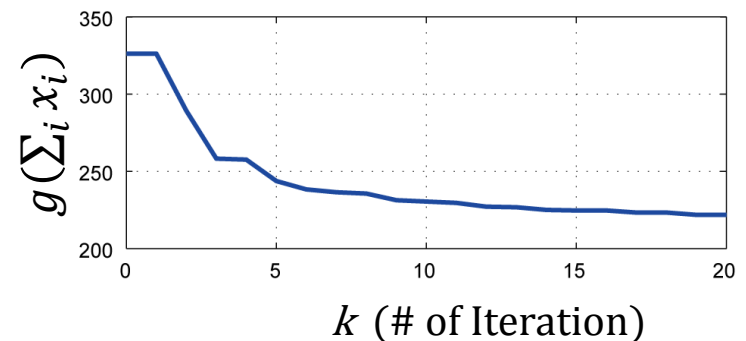


Simulation (Day-ahead Scheduling)

- PHEV charging
 - 1kW x 3hours in a day



- Two groups with different flexibility (given manually)
 - Group 1 (20 households)
 - Large flexibility of changing the start time
 - Group 2 (20 households)
 - Small flexibility
- Result
 - Almost converge with in 20 iterations
 - Realize group objective (peak shaving) while taking into account users' flexibility



Conclusion: Distributed Mode Scheduling



- **Coordination of user-side controllers (autonomous agents)**
 - ☺ Profile-based negotiation (ex. different types of EMS can be integrated)
 - ☺ Negotiation is done by the coordinator's broadcast signal (simple)
- **Hidden-semi Markov model for users' objective functions**
 - ☺ Model can be learned from daily consumption patterns
 - ☺ User-side optimization becomes "mode scheduling" and solved efficiently
- **Future work**
 - Economic design of objective functions
 - Generators and batteries (charging/discharging)
 - Online negotiation (Users do not always follow the schedule)